JC02 Rec'd PAYPTO

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

101249.55470US

~		ERNING A SUBMISSION UNDE		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)			
C	JINCE	ERNING A SOBIMISSION UNDE	K 35 U.S.C. 37 I		10/509,662			
INTE	RNATIC	ONAL APPLICATION NO.	INTERNATIONAL FILING		PRIORITY DATE CLAIMED			
		PCT/JP03/04126	March 31, 2003		March 29, 2002			
TITLE	OF IN	MATERIAL FOR ELECTION	RONIC DEVICE AND	PROCESS FOR PRO	ODUCING THE SAME			
APPL	ICANT	(S) FOR DO/EO/US	NOMO DEVICE AND	11.0020010.11.1	DOGINO III OZINE			
		Takuya SUG	AWARA; Shigen	ori OZAKI; Masa	ru SASAKI			
Appli	icant h	erewith submits to the United States Des	signated/Elected Office (DO/EO/US) the following	items and other information:			
1.		This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.						
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3.		This is an express request to begin nat and (21) indicated below.	tional examination proce	edures (35 U.S.C. 371(f))	. The submission must include items (5), (6), (9)			
4.		The US has been elected (Article 31).			•			
5.		A copy of the International Application	as filed (35 U.S.C. 371(d	c)(2))				
		a. is attached hereto (required	d only if not communicat	ed by the International B	ureau).			
		b. has been communicated by	y the International Burea	ıu.				
		c. Is not required, as the appl	ication was filed in the U	Inited States Receiving C	Office (RO/US).			
6.		An English language translation of the	English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a. is attached hereto.						
		b. has been previously submit	tted under 35 U.S.C. 15	4(d)(4).				
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
		a. are attached hereto (require	ed only if not communic	ated by the International	Bureau).			
		b. have been communicated to	by the International Bure	eau.				
		c. have not been made; howe	ever, the time limit for ma	aking such amendments	has NOT expired.			
		d. have not been made and w	ill not be made.					
8.		An English language translation of the	amendments to the clair	ms under PCT Article 19	(35 U.S.C. 371(c)(3)).			
9.	\boxtimes	An oath or declaration of the inventor(s	i) (35 U.S.C. 371(c)(4)).					
10.		An English language translation of the 371(c)(5)).	annexes of the Interna	tional Preliminary Exami	ination Report under PCT Article 36 (35 U.S.C.			
	Items	s 11 to 20 below concern document(s)	or information include	ed:				
11.		An Information Disclosure Statement un	nder 37 CFR 1.97 and 1	.98.				
12.	\boxtimes	An assignment document for recording	. A separate cover shee	t in compliance with 37 C	CFR 3.28 and 3.31 is included.			
13.	\boxtimes	A preliminary amendment.						
14.		An Application Data Sheet under 37 CF	FR 1.76.					
15.		A substitute specification and marked-u	up copy thereof.					
16.		A power of attorney and/or change of a	iddress letter.					
17.		A computer-readable form of the seque	ence listing in accordance	e with PCT Rule 13ter.2	and 37 CFR 1.821- 1.825.			
18.		A second copy of the published Interna	tional Application under	35 U.S.C. 154(d)(4).				
19.		A second copy of the English language	translation of the intern	ational application under	35 U.S.C. 154(d)(4).			
20.	∇	Other items or information: Copy of	§ 371 Formalities Notic	e				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TC/ THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
UNTERNATIONAL APPLICATION NO.

	NO. (if known, see	·	INTERNATIONAL APPL			ATTORNEY'S DOCKET N	
	10/509,662		PCT/JP	03/04	126	101249.5	
The	following fees ha	ave been submitt	ed			CALCULATIONS	PTO USE ONLY
21. Bas	ic national fee				. \$300.00	\$	
If International p satisfy prov All other situation 23. Sea	isions of PCT Artins Irch fee	icle 33(1)-(4)	pared by USPTO and al			\$	
the USPTO International Sea	as an Internation arch Report prepa as	nal Searching Au ared and provided	n the international applic thority d to the Office		\$400	\$ \$	
sequence li	sting or computer	r program listing	filed in paper over 100 sl filed in an electronic med or fraction thereof.				
Total Sheets	Extra Sheets		ch additional 50 or fracup to a whole number)	ction	RATE		
- 100	/ 50 =				x 250	\$	
Surcharge of \$1 claimed priority of			eclaration later than 30 n	nonths fr	om the earliest	\$130.00	
CLAIMS		BER FILED	NUMBER EXTRA		RATE		
Total claims	5	19 - 20	0		x 50	\$	
Independent cla	aims	2 - 3	0		x 200	\$	
MULTIPLE DEP	ENDENT CLAIM	(S) (if applicable)			+ 360	\$	
			TOTAL OF ABO	VE CAL	CULATIONS =	\$130.00	
Applicant cla	ims small entity s	status. See 37 CF	R 1.27. Fees above are	reduced	by ½.		
					SUBTOTAL =	\$130.00	
			glish translation later tha			\$	
odinoot oldinioo	, , , , , , , , , , , , , , , , , , ,				TIONAL FEE =	\$130.00	
			37 CFR 1.21 (h)). The FR 3.28, 3.31). \$40.00 pe			\$40.00	
					ENCLOSED =	\$170.00	
26/2005 MKAYPAGI	1 00000064 1050	9662				Amount to be refunded:	\$
FC:1617		130.00 OP				Amount to be charged:	\$
a. X Two cl	necks in the amou	unts of \$130.00 a	and \$40.00 to cover the a	bove fee	s is enclosed.		
	charge my Dep		. 05-1323 (Attorney Doc ed.	cket No.) in th	e amount of \$ to co	ver the above fees.
			d to charge any addition No. 101249.55470US).				verpayment to Depos
			WARNING: Information dit card information and a				formation should no
			37 CFR 1.495 has not lation to pending status.		et, a petition to	revive (37 CFR 1.137(a	or (b)) must be file
Crowe Intelled P.O. B Washi Tel. No	RESPONDENCE II & Moring, LLP ctual Property Gro ox 14300 ngton, D.C. 2004 o. (202) 624-2500 o. (202) 628-8844	oup 4-4300	•	Jeffre NAM 32,169 REG		MBER	



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices
Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.usplu.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/509,662

Takuya Sugawara

101249.55470US

INTERNATIONAL APPLICATION NO.

PCT/JP03/04126

I.A. FILING DATE

PRIORITY DATE

MISSING REQUIREMENTS

03/31/2003

03/29/2002

23911 **CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 **WASHINGTON, DC 20044-4300**

CONFIRMATION NO. 2368 371 FORMALITIES LETTER

OC00000016308787

Date Mailed: 06/22/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/29/2004
- Copy of the International Search Report filed on 09/29/2004
- Copy of IPE Report filed on 09/29/2004
- U.S. Basic National Fees filed on 09/29/2004
- Priority Documents filed on 09/29/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR) 1.492(e)) is required.

SUMMARY OF FEES DUE:

Ŀ

Total additional fees required for this application is \$490 for a Large Entity:

\$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$ 360
 - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/509,662	PCT/JP03/04126	101249.55470US	

FORM PCT/DO/EO/905 (371 Formalities Notice)